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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	Attorney Docket Number	PA-98-008		
	First Named Inventor	Fitzgerald		
	<b>COMPLETE IF KNOWN</b>			
	Application Number	/		
	Filing Date	November 10, 1998		
	Group Art Unit			
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**AUTOMATED SHELF MANAGEMENT SYSTEM AND PROCESS FOR TRACKING AND PURGING FILE FOLDERS IN A FILE STORAGE FACILITY**

the specification of which (Title of the Invention)

☒ Is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 385(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (If applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer  
Number Bar Code  
Label here

Name	Registration Number	Name	Registration Number
Kenneth L. Milik	30,963		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label

OR ☒ Correspondence address below

Name	Kenneth L. Milik, Milik & Medeiros, P.A.				
Address	57 Main Street				
Address					
City	Concord	State	MA	ZIP	01741
Country	USA	Telephone	978-371-0524	Fax	978-287-5653

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Arthur C.		Fitzgerald	
Inventor's Signature	<i>Arthur C. Fitzgerald</i>		Date
Residence: City	West Peabody	State	MA
Country	USA		Citizenship
Post Office Address	203 Pine Brook Drive		
Post Office Address			
City	West Peabody	State	MA
ZIP	01960	Country	USA

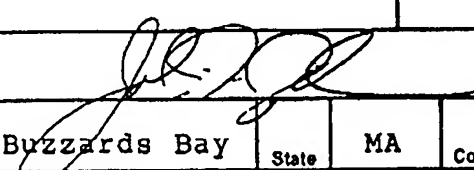
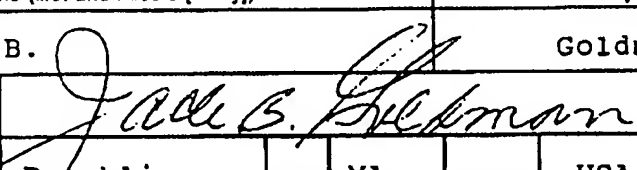
☐ Additional Inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet Page <u>3</u> of <u>3</u>
--------------------	--

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
John F.				Coughlan			
Inventor's Signature						Date	11/10/98
Residence: City	Buzzards Bay	State	MA	Country	USA	Citizenship	USA
Post Office Address 29 Bay Pointe Drive							
Post Office Address							
City	Buzzards Bay	State	MA	ZIP	02532	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Jack B.				Goldman			
Inventor's Signature						Date	11/10/98
Residence: City	Brookline	State	MA	Country	USA	Citizenship	USA
Post Office Address 96 Harvard Avenue							
Post Office Address							
City	Brookline	State	MA	ZIP	02446	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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Washington, D.C. 20231

JUNE 17, 1999

PTAS



\*101005042A\*

MILIK & MEDEIROS  
KENNETH L. MILIK  
57 MAIN STREET  
CONCORD, MA 01742

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/10/1998

REEL/FRAME: 9867/0989  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FITZGERALD, ARTHUR C.

DOC DATE: 11/10/1998

ASSIGNOR:

COUGHLAN, JOHN F.

DOC DATE: 11/10/1998

ASSIGNOR:

GOLDMAN, JACK B.

DOC DATE: 11/10/1998

ASSIGNEE:

ELECTRONIC PAPER SOLUTIONS, INC.  
203 PINE BROOK DRIVE  
WEST PEABODY, MASSACHUSETTS 01960

SERIAL NUMBER: 09189772

FILING DATE: 11/10/1998

PATENT NUMBER:

ISSUE DATE:

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

4.8.99

11-23-1998

FORM PTO 1510A

04-09-1999



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U.S. Department of Commerce  
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PATENT

JUL 23 1999 U.S. PTO  
09/189772

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**Correspondent Name and Address**

Area Code and Telephone Number

978-371-0524

Name Kenneth L. Milik

Address (line 1) Milik & Medeiros

Address (line 2) 57 Main Street

Address (line 3) Concord, MA 01742

Address (line 4)

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Patent Application Number(s)

Patent Number(s)

09/189,772

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year  
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**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

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Fee Amount for Properties Listed (37 CFR 3.41): \$

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No ☐

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Kenneth L. Milik

Name of Person Signing

Kenneth L. Milik

Signature

11/10/98

Date

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Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

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Address (line 1)

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Address (line 1)

Address (line 2)

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Patent Application Number(s)

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## Assignment

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we the undersigned Arthur C. Fitzgerald, residing at 203 Pine Brook Drive, West Peabody, MA 01960; John F. Coughlan, 29 Bay Pointe Drive Buzzards Bay, MA 02532; and Jack B. Goldman, residing at 96 Harvard Avenue, Brookline, MA 02446 hereby

Sell, assign and transfer to Electronic Paper Solutions, Inc., a Massachusetts corporation having a place of business at 203 Pine Brook Drive, West Peabody, MA 01960, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title, and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent executed of even date herewith and entitled **AUTOMATED SHELF MANAGEMENT SYSTEM AND PROCESS FOR TRACKING AND PURGING FILE FOLDERS IN A FILE STORAGE FACILITY** and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent and reexamination certificates which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents and reexamination certificates which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications and requests for reexamination certificates on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said assignee all known facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same herein expressed is possessed by us.

Dated: 11/10/98

Arthur C. Fitzgerald  
Arthur C. Fitzgerald

Dated: 11/10/98

John F. Coughlan  
John F. Coughlan

Dated: 11/10/98

Jack B. Goldman  
Jack B. Goldman

STATE OF: Massachusetts

COUNTY OF: Middlesex

Subscribed and sworn to before me this 10th day of November 1998

SEAL

Wanda M. Noble  
Wanda M. Noble Notary Public

My Commission Expires: 01-24-03

Serial No. 09/189,772  
Attorney Docket Number PA-98-008

09/189-772 04/05/99  
09/189-772

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Arthur C. Fitzgerald et al.  
Serial No: 09/189,772  
Filing Date: November 10, 1998  
For: AUTOMATED SHELF MANAGEMENT SYSTEM  
AND PROCESS FOR TRACKING AND PURGING  
FILE FOLDERS IN A FILE STORAGE FACILITY  
Docket No. PA-98-008  
Document ID No. 100905479

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Kenneth L. Milik, Registration No. 30,963  
Agent for Applicant

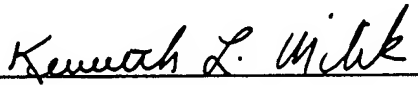
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Honorable Commissioner of Patents and Trademarks  
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In response to the Notice of Non-Recordation of Document, mailed on March 9, 1999, the document is herein resubmitted, with the conveyance type indicated as an assignment.

Respectfully submitted,  
Joseph N. Spring, Jr.

By:   
Kenneth L. Milik  
Agent for Applicant  
Registration No. 30,963

Milik & Medeiros  
57 Main Street  
Concord, MA 01742  
Telephone No. (978) 371-0524



UNITED STATES DEPARTMENT OF COMMERCE  
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MARCH 09, 1999

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MILIK & MEDEIROS  
KENNETH L. MILIK  
57 MAIN STREET  
CONCORD, MA 01742



\*100905479A\*

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